

Customer Reports on Investment and Ancillary Services

This information sheet explains what kind of regular reports AS SEB Pank presents to the customer upon provision of investment and ancillary services.

Quarterly Statement of Securities

The bank presents a quarterly statement of securities to the customer within the quarter following the reporting period. The statement includes information about the set of securities held for the account of the customer and certain other circumstances related to the securities.

Annual Report on Costs and Charges

The bank submits an annual report on costs and charges as at the end of the year to the customer by the end of May of the following year at the latest. The report includes costs and charges that the customer has incurred during the year in connection with securities held for the account of the customer and provision of investment and ancillary services.

The report on costs and charges also includes a statement of the amount of commission fees that the bank has received during the year from third parties in connection with the provision of investment and ancillary services.

Loss Report

For customers whose account includes leveraged securities or positions in contingent liability transactions the bank presents a loss report, if the value of the security or position has decreased by 10% and then upon each subsequent 10% decrease. The report is security and position-based, unless agreed otherwise with the customer. The report is submitted no later than at the end of the business day on which the 10% decrease in value was recorded.

Portfolio Management Report

The customer can generate a report on the ETF portfolio management service provided in the Robo-Advisor and view the data at his or her internet bank; information related to securities is available in the securities account of the portfolio and information related to the amounts of investments and the amounts in the portfolio are available in the cash account related to the service and the cash account of the portfolio. The customer must review the portfolio data at least once a quarter.

The bank presents a monthly service report to a portfolio management customer for the service provided by the Private Banking department. The report is presented on the 10th day of the month following the reporting month at the latest. The report includes, among other things, the composition and value of the portfolio, cash balance at the beginning and end of the reporting period, performance of the portfolio during the reporting period, a comparison of the performance of the portfolio and the performance of the agreed benchmark, and the costs and charges paid during the reporting period.

Portfolio Loss Report

The bank presents the portfolio loss report to a portfolio management customer, if the value of the portfolio has decreased by at least 10% compared to the value at the beginning of the reporting period, and thereafter upon each subsequent 10% decrease. The report is portfolio-based and submitted no later than at the end of the business day on which the at least 10% decrease was recorded.