

Trading restrictions on certain US securities

We do not execute securities transaction orders to purchase, or to otherwise transfer to a securities account opened with SEB the following US securities:

1. traded price or estimated market value of less than 1 USD per security and which do not trade on the NYSE or NASDAQ NMS; or
2. traded on the OTC market segments of Pink-Limited Information or Pink-No Information (regardless of price); or
3. carrying a designation of "Caveat Emptor", "Grey Market" or "Other OTC" (regardless of price); or
4. In US registered limited partnership (LP) shares (regardless of price).

Clients who already hold such securities or whose securities in the future cannot be held through SEB due to decrease of security value or change of trading venue or other above mentioned characteristic, may be contacted by us to request to sell the securities or transfer to another service provider.

If the client has not submitted a respective instruction to sell or transfer the mentioned securities by the term specified, we may, according to AS SEB Bank Terms and Conditions of Securities Account and Transactions, sell at the customer's expense the respective securities corresponding to the above conditions at the best possible price reasonably obtainable at that moment for the respective securities according to the best of our understanding and after deduction of the cost for such sale, transfer the sales proceeds to the client's current account or register said securities in the securities register in the name of the client or to deposit the securities with a notary

The restriction is set by AS SEB Bank according to the Terms and Conditions of Securities Account and Transactions subdivision on the basis of the Scope of the provision of services.